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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 32887/265439

First Inventor Bassett et al.

Title Software Enabled Wizards

Express Mail Label No. EL 834 336 204 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 68]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 73]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement [] Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal of Application Under 37 CFR 1.41(c)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ / ____

Prior application information: Examiner ____

Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Charles W. Calkins				
Address	Kilpatrick Stockton LLP 1001 W. Fourth St.				
City	Winston-Salem	State	NC	Zip Code	27101
Country	USA	Telephone	336-607-7300	Fax	336-607-7500

Name (Print/Type)	Charles W. Calkins	Registration No. (Attorney/Agent)	31,814
Signature		Date	11/9/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bassett et al..
Serial No. : To Be Assigned
Filing Date : Transmitted Herewith
For : Software Enabled Wizards

Assistant Commissioner for Patents
Box: PATENT APPLICATION
Washington, D.C. 20231

TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of the following Applicants, whose addresses and citizenships are as follows:

<u>Inventor</u>	<u>Address</u>	<u>Citizenship</u>
Jimmy G. Bassett	327 Crawford Hollow Road Fall Branch, TN 37656	USA
William Joseph Burgess	2309 Wynwood Drive Jonesborough, TN 37659-3024	USA
James Palmer Dickerson	28 Willowbrook Dr. Kingsport, TN 37660-7519	USA
Michael Eugene Donelson	307 Cedar Valley Blvd. Gray, TN 37615-3769	USA
Dennis Joseph Golob	2416 Rivermont Dr. Kingsport, TN 37660-2317	USA
Samuel LeRoy. Gott	2409 Rivermont Dr. Kingsport, TN 37660-2316	USA
William Carl Jackson	1852 Gingercake Circle, #306 Rock Hill, SC 29732	USA
Steven L. Lyons	916 Huntington Run Lane Kernersville, NC 27284	USA
Stacey James Marsh	507 Rosemont Ave. Church Hill, TN 37642-4226	USA
Rick Miller	304 Coralwood Drive Kingsport, TN 37663-2714	USA
David P. Fischer	113 Arondale Court Kingsport, TN 37664-4415	USA
Henry Gonzalez, Jr.	434 Isley Drive Blountville, TN 37617-5712	USA
James W. Mercer, Jr.	1033 Hanover Court Kingsport, TN 37660-5840	USA

<u>Inventor</u>	<u>Address</u>	<u>Citizenship</u>
Bobby J. Quillen	1065 Sussex Drive Kingsport, TN 37660-5836	USA
Srihari Sitarama Dantuluri	1000 University Blvd., Apt. #C-56 Kingsport, TN 37660	India

Please address all correspondence and telephone calls in connection with this application to:

Charles W. Calkins, Esq.
Kilpatrick Stockton LLP
1001 West Fourth St.
Winston-Salem, NC 27101
(336) 607-7300 (Phone)
(336) 607-7500 (Facsimile)

Respectfully submitted,



Charles W. Calkins (Reg. No. 31,814)

Date: 11/9/01
KILPATRICK STOCKTON LLP
Kilpatrick Stockton LLP
1001 W. 4th Street
Winston-Salem, NC 27101
(336) 607-7300

32887-265439
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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$2558.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Bassett et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	32887/265439

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	16-1435
Deposit Account Name	Kilpatrick Stockton LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$740)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$1818)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Charles W. Calkins	Registration No. (Attorney/Agent)	31,814	Telephone	336-607-7300
Signature		Date	11/9/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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EXPRESS MAIL CERTIFICATE

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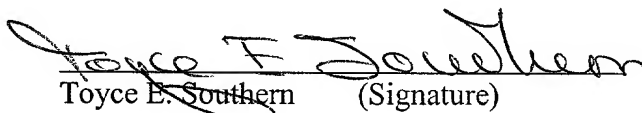
Date of Deposit: November 9, 2001

Type of Document(s): Utility Patent Application Transmittal;
Specification (68 Pages, including 1 Page Abstract;
73 Sheets of Formal Drawings;
Transmittal of Application Under 37 CFR 1.41(c);
Fee Transmittal for FY 2001 (*In Duplicate*);
and
Return Postcard

Serial No.: Unassigned

Date Filed: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.


Toyce E. Southern (Signature)